

## Focus Shares & Securities Pvt. Ltd.

## KYC DOCUMENT IN VERNACULAR LANGUAGES

Client Registration Documents (Rights & Obligations, Risk Disclosure Document, Dos and Don'ts) in Vernacular Language:

Assamese	Bengali	Gujarati	Hindi	Kannada
Kashmiri	Konkani	Malayalam	Marathi	Oriya
Punjabi	Sindhi	Tamil	Telegu	Urdu

NSE BSE

Note: "This document is a translated version of the client registration documents in English and is being provided in vernacular language to facilitate better understanding by the investors. In case of any ambiguity, the contents of the English version would prevail."

## MANDATORY UPDATION OF CERTAIN ATTRIBUTES OF KYC OF CLIENTS

This has reference to NSE circular ref. no. NSE/ISC/47869, BSE notice ref. no. 20210401-53 dated April 01, 2021, and NDSL circular ref. no. NSDL/POLICY/2021/0036 dated April 07, 2021, on the subject mandatory updation of certain attributes of KYC of clients.

Through this circular it has been made mandatory for all clients to update the following in addition to their name and full & proper address: –

- i) PAN: The requirement of mandatory submission of PAN by clients for transactions in the securities market shall continue to apply, with permitted exemptions. In case PAN is not seeded with AADHAAR before the date specified by the Government, it will not be considered as a valid PAN.
- ii) Valid email ids and Mobile Number: Separate valid mobile number and email address to be updated for all Beneficial Owner [BO] account holders and for their trading client code. However, after submitting a written declaration, only family members can update same mobile number and email address. Family for this purpose has been defined as self, spouse, dependent parents and dependent children.

Also please note that Regulator shall verify that mobile number and email in the account is accessible by sending a link through SMS and email directly to the account holder at the mobile number and the email ID captured in the account. In case an electronic confirmation is received from the said mobile number and the said email ID, such mobile number and/or the email ID will be considered as verified

iii) Income range: Every BO/ Client should update their income range per annum with their Stock Brokers and Depository Participants.

You are requested to comply with all the above-mentioned requirements immediately to avoid your account being marked either as Inactive and or closed. Please ignore if you have already provided the above details.